



# CHINA NUCLEAR ENERGY TECHNOLOGY CORPORATION LIMITED

中國核能科技集團有限公司

(於百慕達註冊成立之有限公司)

(股份代號: 611)

## Whistleblowing Policy

### 1. Whistleblowing and reporting channel

1.1 Whistleblowing refers to a situation where employees, customers, suppliers or any third parties who deal with China Nuclear Energy Technology Corporation Limited (the “**Company**”) and its subsidiaries (collectively the “**Group**”) report concerns about any suspected or actual improprieties relating to the Group. This policy should cover employees of the Group at all levels and other stakeholders who might be victims of staff misconduct, including clients, suppliers and any third party business partner.

Examples of improprieties include but not limited to:

- Acts constitute bribery or corruption;
- Failure to comply with legal or regulatory requirements, malpractice, impropriety or fraud relating to internal controls, accounting, auditing and financial matters;
- Criminal offences or breaches of other legal or regulatory requirements;
- Breaches of contract;
- Misconduct, malpractice, negligence or unethical behavior;
- Misappropriation of company property;
- Putting people’s health and safety at risk; and
- Deliberate concealment of any of the above.

1.2 The audit committee of the Company is responsible for the day-to-day implementation and oversight of the policy, reviewing and investigation of reports, and consideration and approval of changes to the policy.

1.3 Whistleblowers may raise their concerns about any suspected or actual improprieties by writing, with evidence in the form of texts, photos、voice or video recordings, to the audit committee of the Company at:

- For matters related to 中核（南京）能源發展有限公司 (CNI (Nanjing) Energy Development Limited), please send email to:

WBPANEL-NJ@cnetcl.com (accessed by all directors of the Company)

- For matters related to 核建融資租賃（深圳）有限公司 (CNEC Financial Leasing (Shenzhen) Co., Ltd, please send email to:

WBPANEL-SZ@cnetcl.com (accessed by all directors of the Company)

- For matters related to the Company or other subsidiaries within the Group, you may write a letter to:

Whistleblowing Panel  
Room 2801, 28/F  
China Resources Building  
26 Harbour Road  
Wanchai  
Hong Kong

Or send an email to WBPANEL@cnetcl.com (accessed by all independent non-executive directors of the Company)

- 1.4 All information received will be treated with confidence, except where the Group is required by law or regulation to disclose them. Any one making genuine and appropriate reports under this policy is assured of fair treatment and protection against unfair dismissal, victimization or unwarranted disciplinary action.
- 1.5 Whistleblowers should provide case specific information and are encouraged to disclose their identity so that investigation can be conducted effectively. Anonymous reports will only be handled by the Group if evidence in the form of texts, photos, voice or video recordings are enclosed,
- 1.6 All reports should be made in good faith. The Company reserves the right to take appropriate action against those make a false report maliciously or for personal gain.

## **2. Handling of reports**

- 2.1 The Group will keep record of all reports, the corresponding follow-up actions as well as the personnel in charge of the actions in order to make sure the accountability of inquiry.

2.2 All findings in the internal inquiry process will be stored in the form of standalone soft and hard copies. If there is reasonable suspicion of a criminal offence during internal inquiry process, the Group will immediately report to the appropriate law enforcement agency and preserve and encrypt all potential evidence to ensure the perpetrator will not be alerted.

### **3. Statement of pledge**

The board of directors of the Company has overall responsibility for ensuring this policy complies with our legal and ethical obligations, and that all those under our control comply with it.

The Group is committed to high probity standards and ethical business practices, and encouraging reporting of concerns and actual or suspected misconduct or malpractice by any staff and/or external parties in any matter related to the Group.

The Group ensures confidentiality, anonymity, timely handling of reports, assurance of fair treatment and protection from retaliation to whistleblowers. Persons who victimise or retaliate against whistleblowers who have genuinely raised concerns will be subject to disciplinary actions.